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# Principles of Communications

## Lecture 3: Analog Modulation

### Techniques (1)

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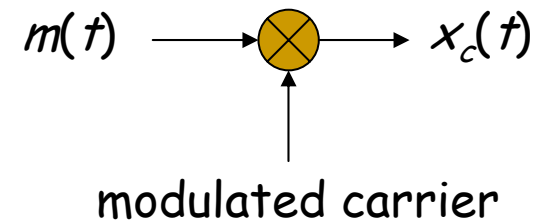
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# Outlines

- Linear Modulation
- Angle Modulation
- Interference
- Feedback Demodulators
- Analog Pulse Modulation
- Delta Modulation and PCM
- Multiplexing

# Types of Modulation



- Analog modulation and Digital modulation
  - A process to translate the information data to a **new spectral location** depending on the intended frequency for transmission.
- Modulation, historically, is done on the RF transmission system. Thus, the conversion from message signals to RF signals is called modulation.
- Analog modulation: **continuous-wave modulation** and **pulse modulation** (sampled data)
  - Continuous-wave modulation: **linear modulation** (AM) and **angle modulation** (FM)

# Linear Modulation

- General form:  $x_c(t) = A_c(t) \cos \omega_c t$

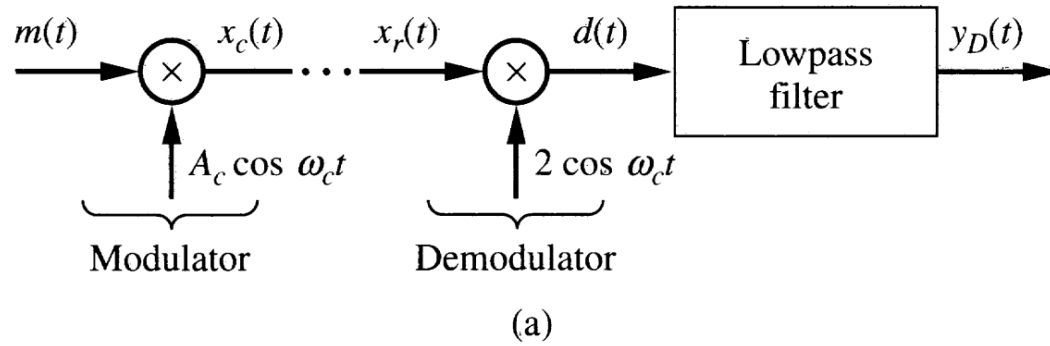
$A_c(t)$ : 1-to-1 correspondence to the message  $m(t)$

$\cos(\omega_c t)$ : carrier ( $\omega_c t$  is fixed)

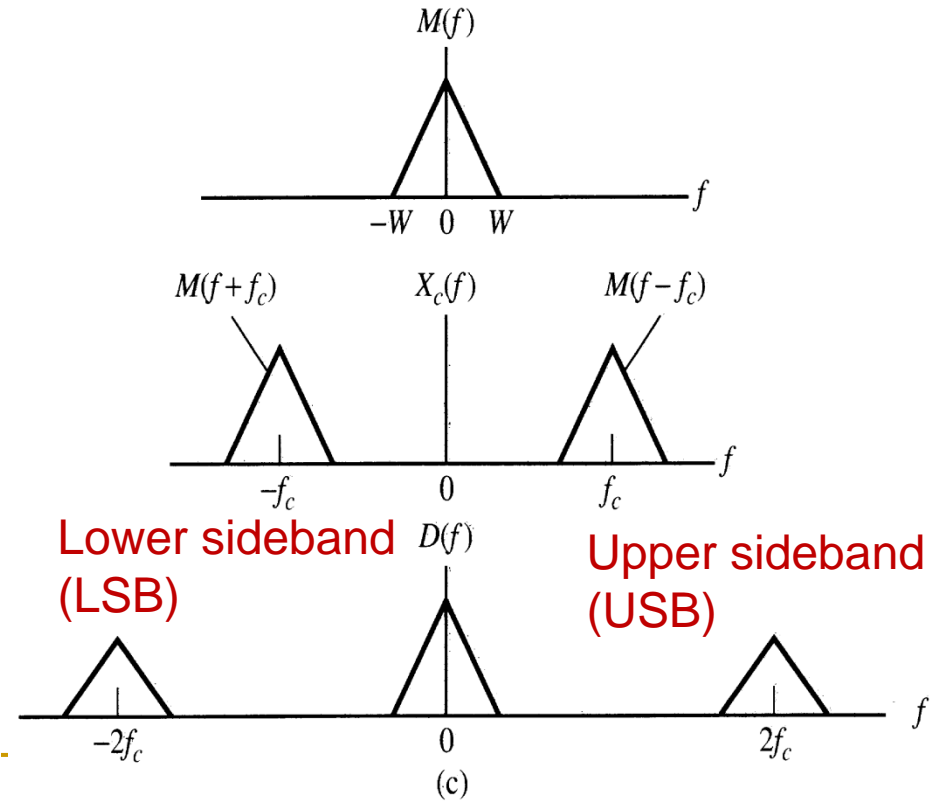
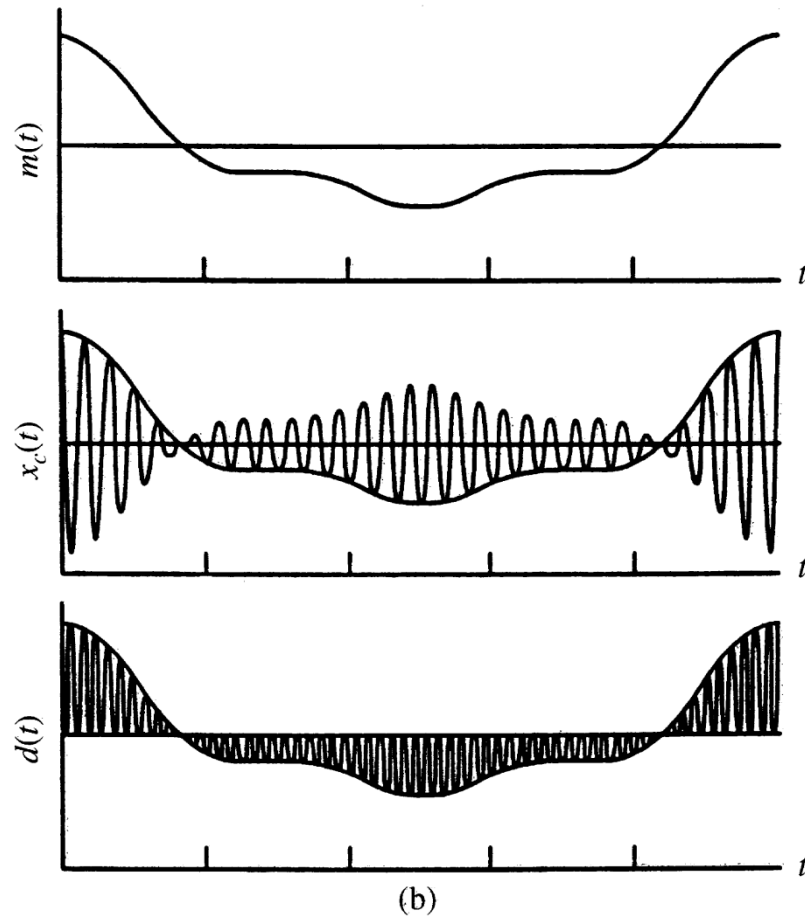
- **DSB** (Double-Sideband) Suppressed Carrier (SC)

$$x_c(t) = A_c m(t) \cos \omega_c t$$

$$\Leftrightarrow X_C(f) = \frac{1}{2} A_C M(f + f_C) + \frac{1}{2} A_C M(f - f_C)$$



**Figure 3.1**  
 Double-sideband modulation.  
 (a) System. (b) Waveforms.  
 (c) Spectra.



# DSB-SC

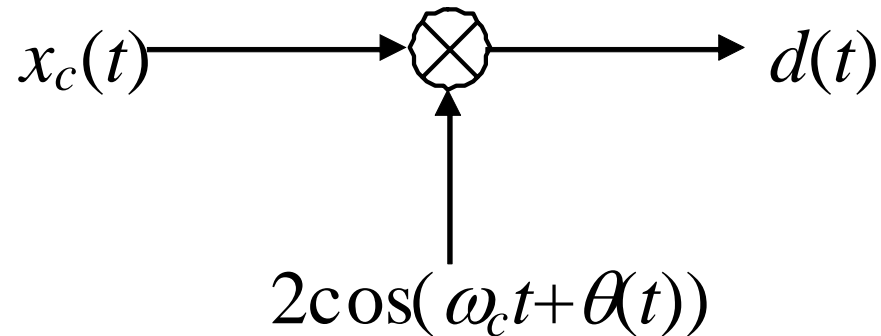
- **Coherent (Synchronous) Demodulator (Detector):**  
The receiver knows *exactly* the phase and frequency of the carrier in the received signal.

$$\begin{aligned}d(t) &= x_c(t) \cdot 2 \cos \omega_c t = [A_C m(t) \cos \omega_c t] \cdot 2 \cos \omega_c t \\ &= A_C m(t) + A_C m(t) \cos 2\omega_c t\end{aligned}$$

↑desired part                      ↙High freq. noise

Message  $m(t)$  is recovered!

- What if the receiver reference is not coherent?  
 -- A phase error occurs ( $\theta(t)$ , unknown, random, time-varying, ...)



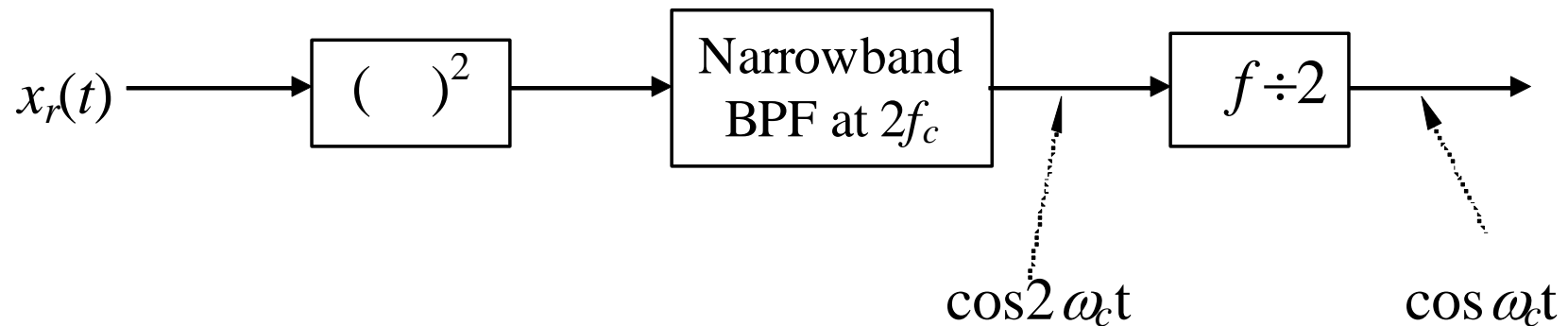
$$\begin{aligned}
 d(t) &= 2A_C m(t) \cos \omega_c t \cdot \cos(\omega_c t + \theta(t)) \\
 &= A_C m(t) \cos \theta(t) + A_C m(t) \cos(2\omega_c t + \theta(t))
 \end{aligned}$$

$$\rightarrow y_D(t) = m(t) \cos \theta(t), \quad -1 \leq \cos \theta(t) \leq 1$$

It is time-varying !!

# Carrier Recovery

- **Carrier recovery:** Regenerate the carrier ( $f_c$  and  $\theta(t)$ ) at the receiver site
- Example: Square circuit



$$x_r^2(t) = A_C^2 m^2(t) \cos^2 \omega_c t = \frac{1}{2} A_C^2 m^2(t) + \frac{1}{2} A_C^2 m^2(t) \cos 2\omega_c t$$

DC

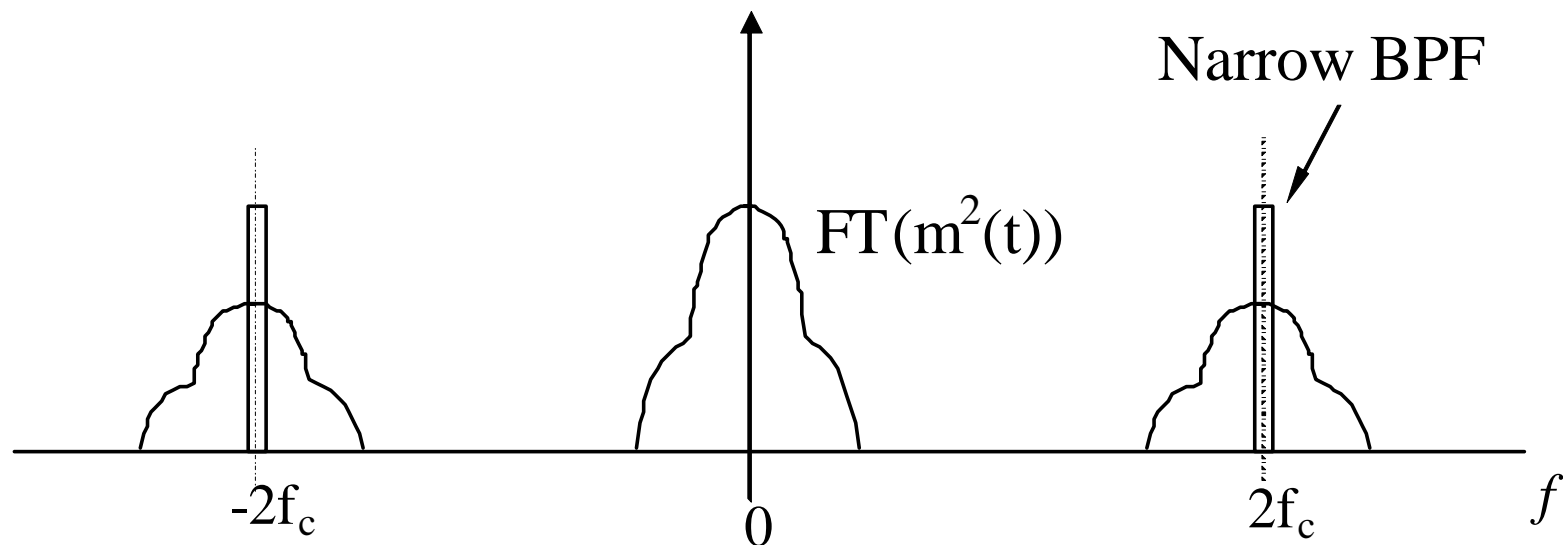
Carrier (2xf)!



## Carrier Recover (2)

- How to extract the carrier? It becomes clearer when we examine it in the frequency domain.

**FT of  $x_r^2(t)$ :**



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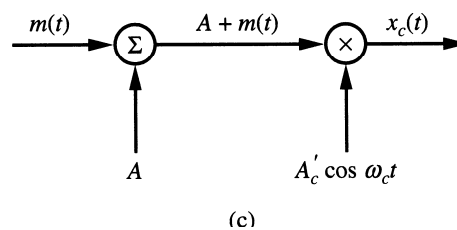
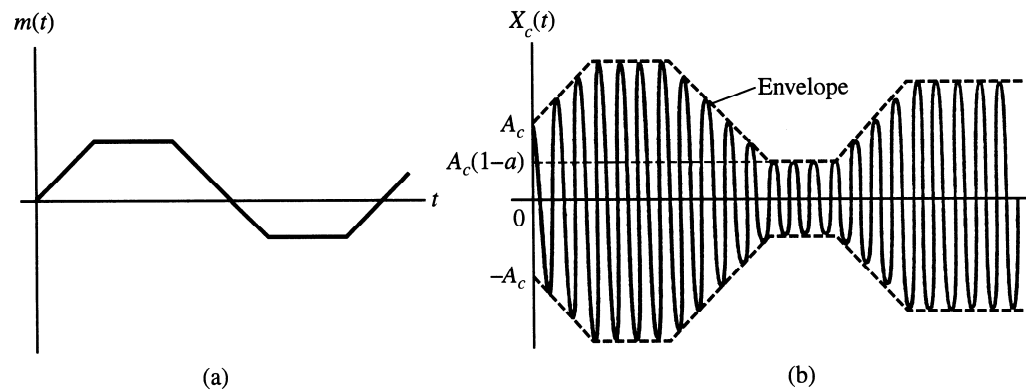
# Remarks

- The spectrum of DSB signal does not contain a discrete spectral component at the carrier frequency unless  $m(t)$  has a DC component.
- DSB systems with no carrier frequency component present are often referred to as **suppressed carrier** (SC) systems.
- If the carrier frequency is transmitted along with DSB signal, the demodulation process can be rather simplified.
- Alternatively, let's see the following **amplitude modulation** (AM) scheme.

# Amplitude Modulation

- A DC bias  $A$  is added to  $m(t)$  prior to the modulation process
  - The result is that a carrier component is present in the transmitted signal

- Definition 
$$x_c(t) = [A + m(t)]A'_c \cos \omega_c t$$
$$= A_c [1 + am_n(t)] \cos \omega_c t$$



# AM

- **Amplitude Modulation (AM):** DSB with carrier

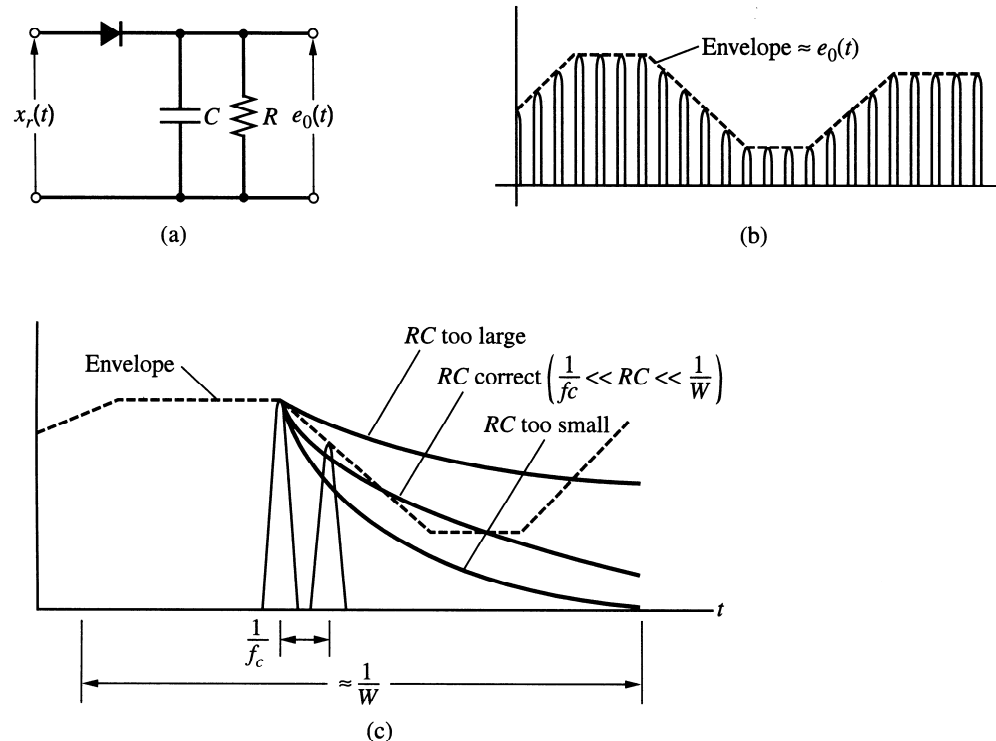
$$x_c(t) = A_C [1 + am_n(t)] \cos \omega_c t$$

$$m_n(t) = \frac{m(t)}{\left| \min_t m(t) \right|}, \quad \begin{array}{l} m_n(t): \text{the normalized message} \\ m(t): \text{the original message} \end{array}$$

$$a = \frac{\left| \min_t m(t) \right|}{A} \quad \begin{array}{l} A: \text{the DC bias} \\ a: \text{the modulation index (had better be less than 1)} \end{array}$$

- Normalized message  $\rightarrow 1 + m_n(t) \geq 0$

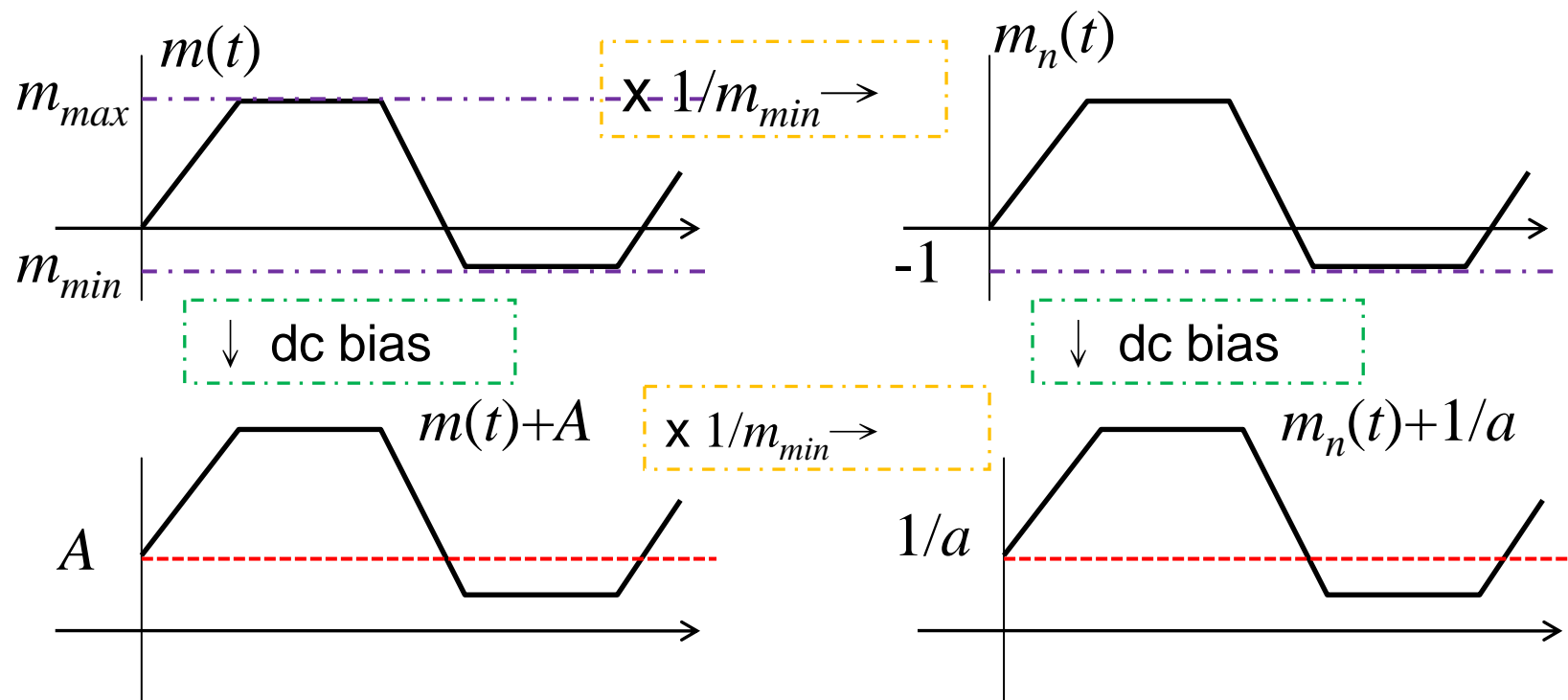
# Envelope Detection

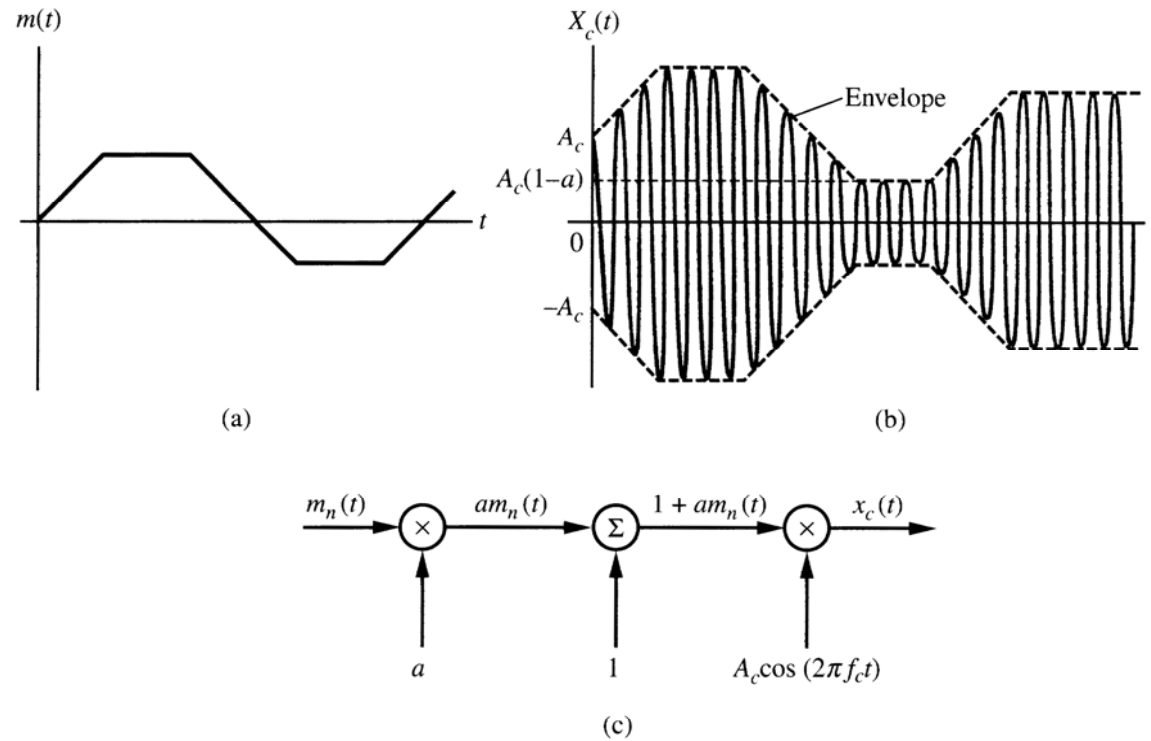


- The modulation index is defined such that if  $a=1$ , the minimum value of  $A_c[1+am_n(t)]$  is zero
  - $a < 1$ , it results in  $A_c[1+am_n(t)] > 0$  for all  $t$
- In AM, all the information is just the envelop.
- The envelop detection is a simple and straightforward technique

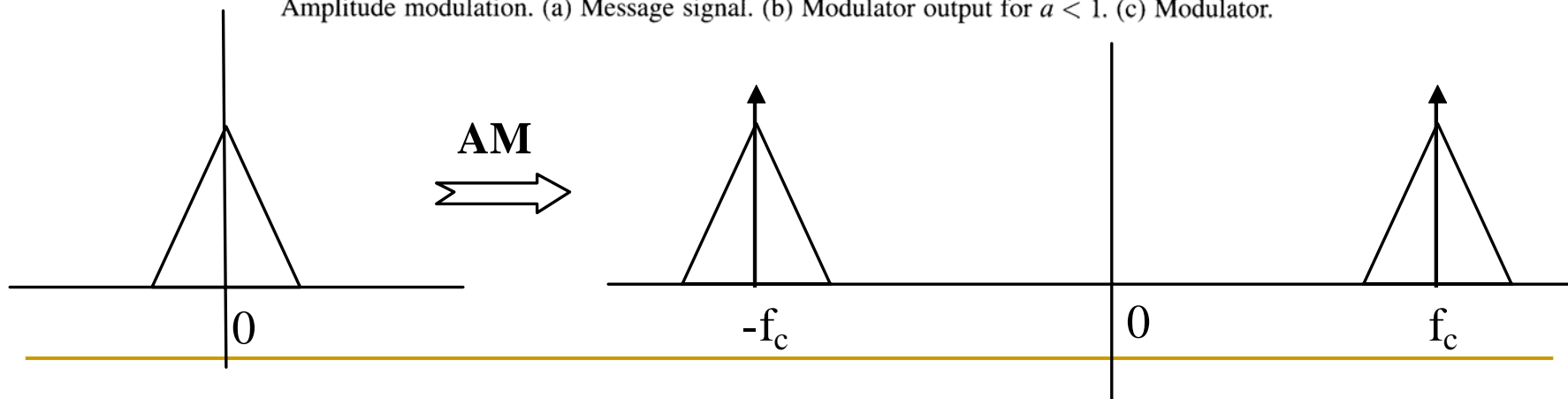
# AM (2)

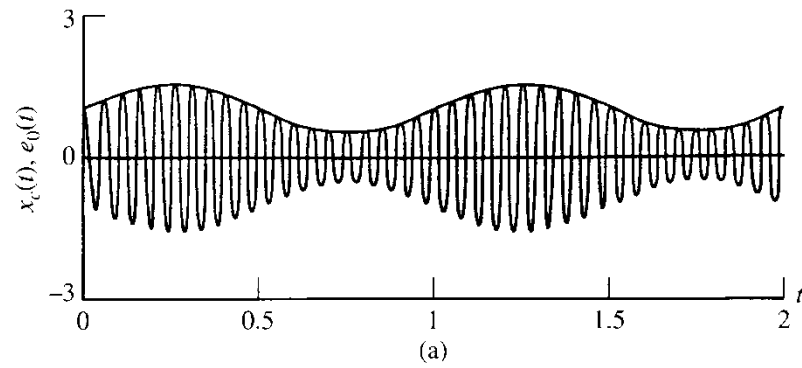
- **Over-modulation:** modulation index  $a > 1$
- **DC bias:** the shifted level of the zero-value message



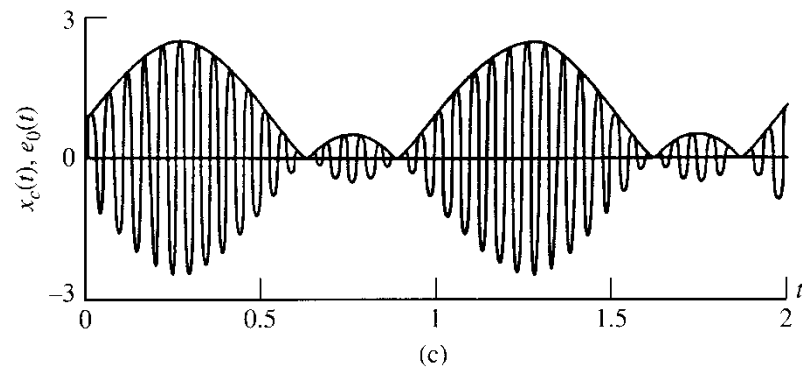
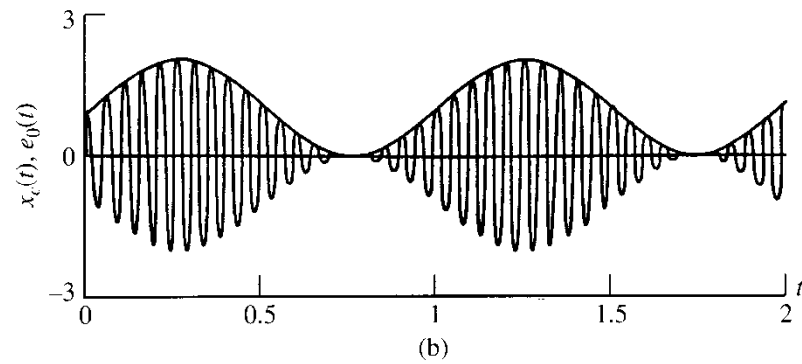


**Figure 3.2**  
Amplitude modulation. (a) Message signal. (b) Modulator output for  $a < 1$ . (c) Modulator.





**Figure 3.4**  
 Modulated carrier and envelope  
 detector outputs for various  
 values of the modulation index.  
 (a)  $a = 0.5$ . (b)  $a = 1.0$ . (c)  
 $a = 1.5$ .





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# AM Demodulation

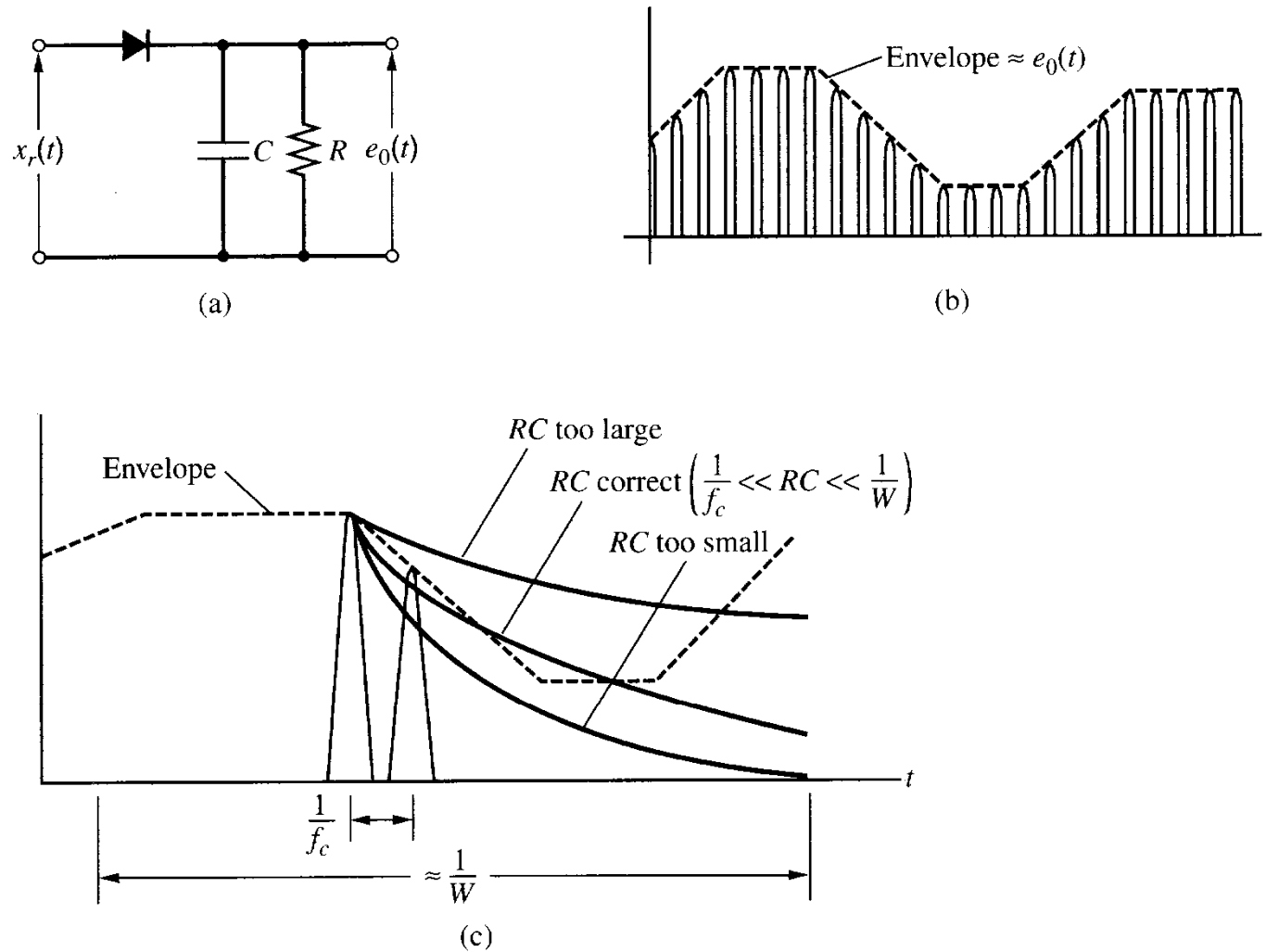
- **Coherent detection:** precise but requires carrier recovery circuit.
- **Incoherent detection, envelope detection:** simple receiver (LPF) but requires sufficient carrier power ( $a < 1$ ) and  $f_c \gg W$ . (In theory,  $f_c > W$  is sufficient, but a “good” LPF is needed.)
- Impulse response of RC circuit:

$$h(t) = \frac{1}{RC} e^{-t/RC} u(t)$$

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# Remarks

- The time constant  $RC$  of the envelop detector is an important design parameter.
- The appropriate  $RC$  time constant is related to the carrier frequency  $f_c$  and to the bandwidth  $W$  of the original signal  $m(t)$ 
  - $1/f_c \ll RC \ll 1/W$ , between then and must be well separated from both



**Figure 3.3**  
Envelope detection. (a) Circuit. (b) Waveforms. (c) Effect of RC time constant.

# Power Efficiency of AM

- Suppose that  $m(t)$  has zero mean, then the total power contained in the AM modulator output is

$$\begin{aligned}\langle x_c^2(t) \rangle &= \langle [A + m(t)]^2 (A'_C)^2 \cos^2 \omega_c t \rangle \quad \langle \cdot \rangle \text{ denotes the time average value} \\ &= \left\langle \frac{1}{2} [A + m(t)]^2 (A'_C)^2 \right\rangle + \left\langle \frac{1}{2} [A + m(t)]^2 (A'_C)^2 \cos 2\omega_c t \right\rangle \\ &= \frac{1}{2} A'_C{}^2 [A^2 + 2A \langle m(t) \rangle + \langle m^2(t) \rangle] \\ &= \frac{1}{2} A'_C{}^2 [A^2 + \langle m^2(t) \rangle]\end{aligned}$$

- The power efficiency : the power ratio of the input information to the transmitted signal

$$E \equiv \text{Efficiency} \equiv \frac{\langle m^2(t) \rangle}{A^2 + \langle m^2(t) \rangle} \times 100\% = \frac{a^2 \langle m_n^2(t) \rangle}{1 + a^2 \langle m_n^2(t) \rangle} \times 100\%$$

# Power Efficiency Example

$$E \equiv \text{Efficiency} \equiv \frac{\langle m^2(t) \rangle}{A^2 + \langle m^2(t) \rangle} (100\%) = \frac{a^2 \langle m_n^2(t) \rangle}{1 + a^2 \langle m_n^2(t) \rangle} (100\%)$$

$$m_n(t) = \frac{m(t)}{\left| \min_t m(t) \right|}$$

- If the signal has symmetrical value, i.e.  $|\min m(t)| = |\max m(t)|$ , then  $|m_n(t)| \leq 1$  and hence  $\langle m_n^2(t) \rangle \leq 1$ .
  - If  $a \leq 1$ , the maximum efficiency is 50%, e.g. the square wave-type
  - For a sine wave,  $\langle m_n^2(t) \rangle = 1/2$ , for  $a = 1$ , the efficiency is 33.3%
  - If we allow  $a > 1$ ,
    - Efficiency can exceed 50%, ( $a \rightarrow \infty$ , the efficiency = 100%)
    - But, the envelope detector is precluded.

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# Remarks

- The main advantage of AM:
  - A coherent reference is not necessary for demodulation as long as  $a \leq 1$
- The disadvantage of AM:
  - The power efficiency
  - The DC value of the message signal  $m(t)$  cannot be accurately recovered. (mixed with carrier)

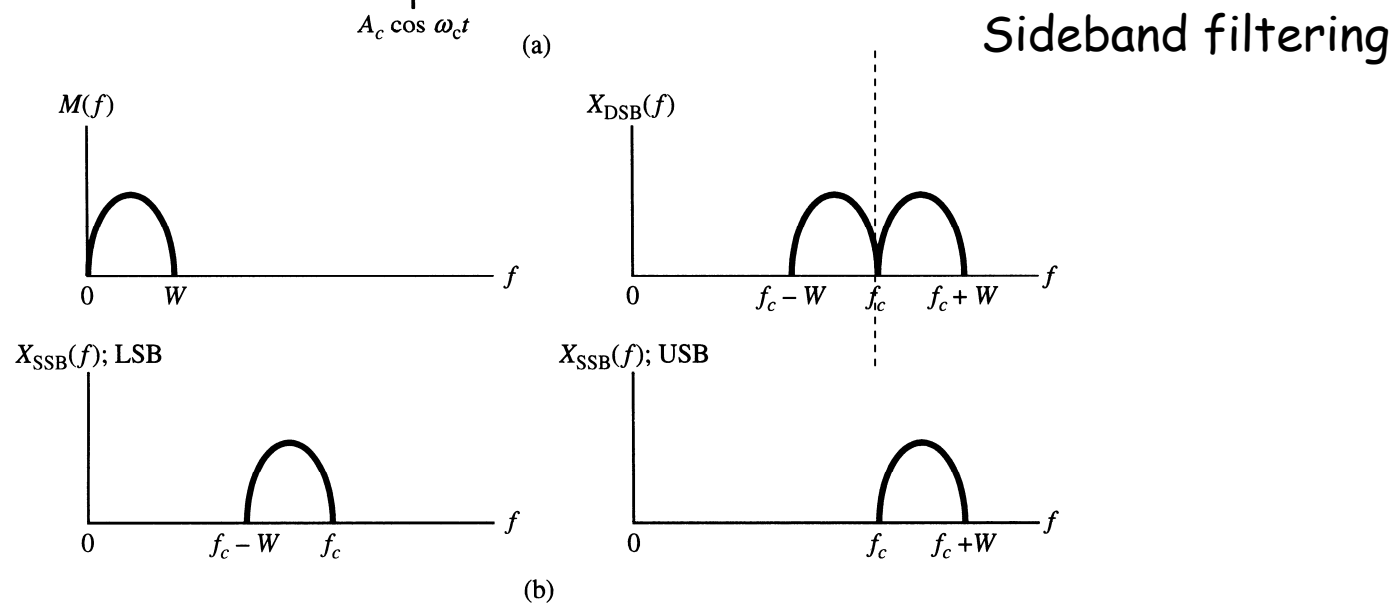
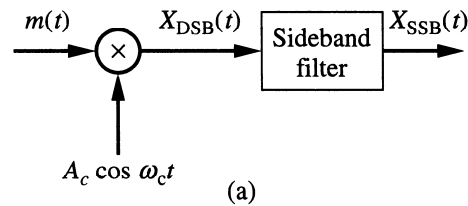
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# Single Sideband (SSB) Modulation

- Why SSB?
  - In DSB, either the USB and the LSB have equal amplitude and odd phase symmetry about the carrier frequency

Send only “half” signal (USB & LSB symmetric);  
Good power efficiency; Good bandwidth utilization  
Basis of more advanced modulations
- Methods to generate SSB signals
- Method 1: Sideband (BPF) filtering  
Easy to understand, but difficult to implement.
- Method 2: Phase-shift modulation

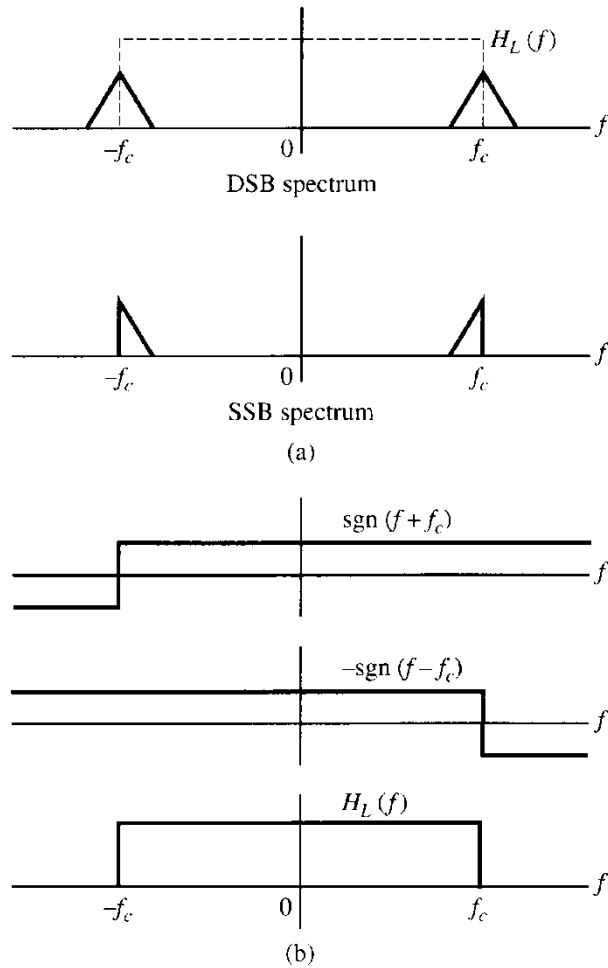
# Sideband Filtering



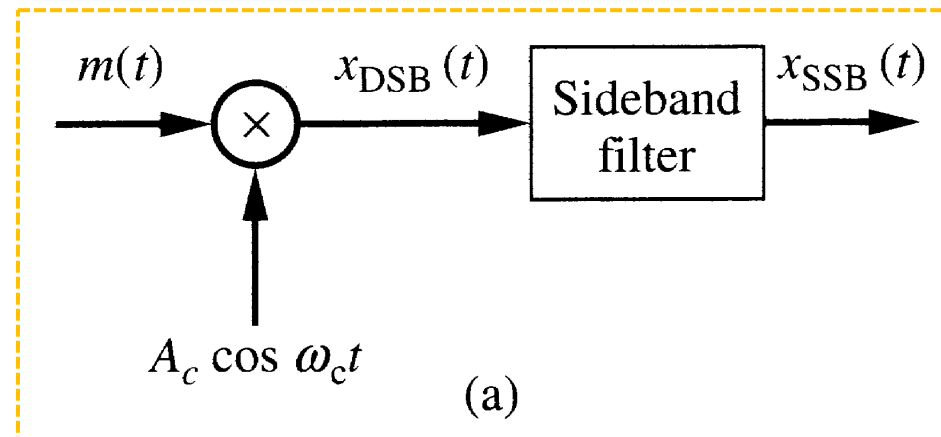
- An ideal passband filter is necessary
- The (very) low frequency component will be encapsulated



# SSB Modulation



**Figure 3.7**  
Generation of lower-sideband SSB. (a) Sideband filtering process. (b) Generation of lower-sideband filter.



# SSB Signal Generation

$$\text{DSB signal: } X_{DSB}(f) = \frac{A_C}{2} M(f + f_c) + \frac{A_C}{2} M(f - f_c)$$

$$\text{LPF: } H_L(f) = \frac{1}{2} [\text{sgn}(f + f_c) - \text{sgn}(f - f_c)]$$

$$X_c(f) = X_{DSB}(f) \cdot H_L(f)$$

$$\begin{aligned} &= \frac{1}{4} A_C [M(f + f_c) \text{sgn}(f + f_c) + M(f - f_c) \text{sgn}(f + f_c)] \\ &\quad - \frac{1}{4} A_C [M(f + f_c) \text{sgn}(f - f_c) + M(f - f_c) \text{sgn}(f - f_c)] \end{aligned}$$

$$= \frac{A_C}{4} [M(f - f_c) + M(f + f_c)]$$

part-A

$$+ \frac{A_C}{4} [M(f + f_c) \text{sgn}(f + f_c) - M(f - f_c) \text{sgn}(f - f_c)]$$

part-B

## SSB Signal Generation (2)

Part-A  $\leftrightarrow$  (FT of) DSB signal:  $\frac{A_C}{2} m(t) \cos \omega_c t$

Part-B: Let  $\hat{m}(t) \equiv \mathfrak{F}^{-1}\{-j \operatorname{sgn}(f) \cdot M(f)\}$

■ Define **Hilbert Transform**:  $m(t) \rightarrow \boxed{-j \operatorname{sgn}(f)} \rightarrow \hat{m}(t)$

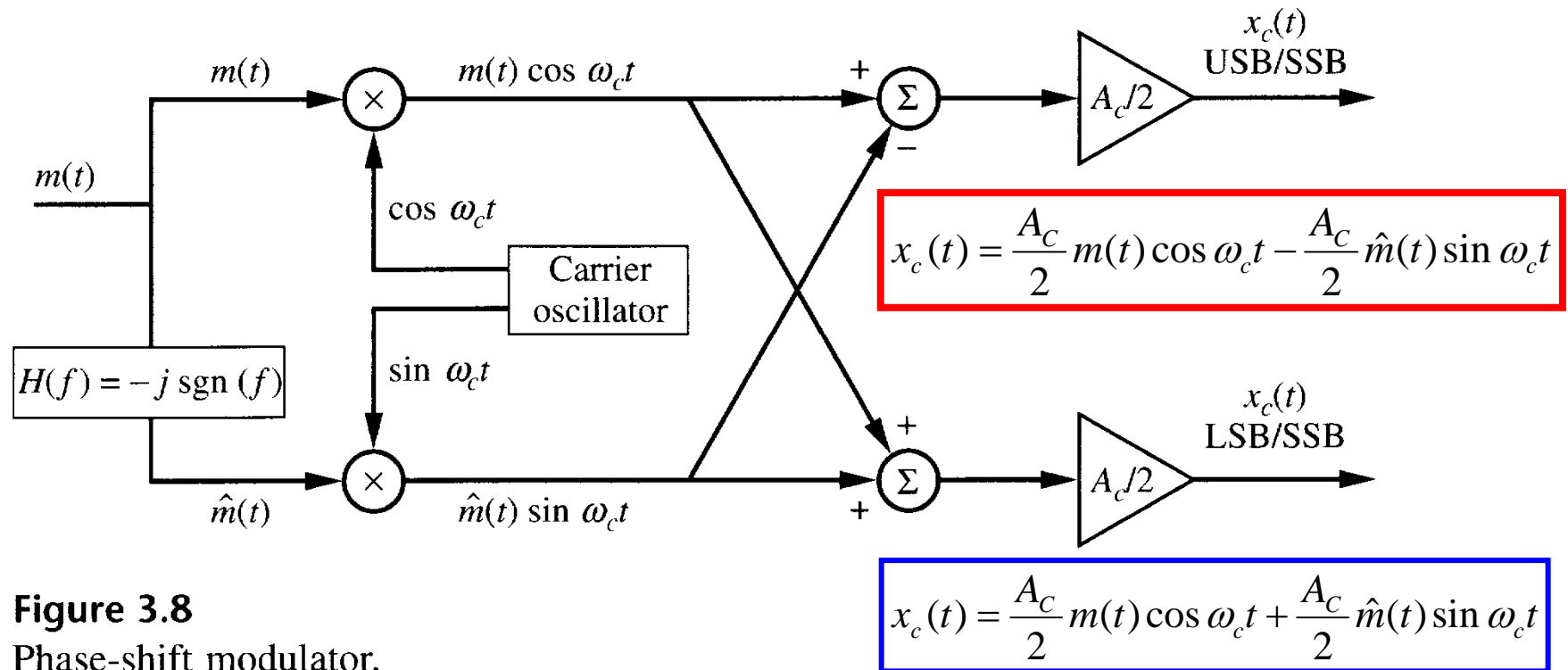
Thus,  $\hat{M}(f) = -j \operatorname{sgn}(f) \cdot M(f)$

$$\hat{M}(f - f_c) \leftrightarrow \hat{m}(t) e^{j2\pi f_c t}$$

$$\begin{aligned} \mathfrak{F}^{-1}\{\text{part-B}\} &= \frac{A_C}{4} [j\hat{m}(t) e^{-j2\pi f_c t} - j\hat{m}(t) e^{j2\pi f_c t}] \\ &= \frac{A_C}{2} \hat{m}(t) \left[ j \frac{1}{2} (e^{j2\pi f_c t} - e^{-j2\pi f_c t}) \right] = \frac{A_C}{2} \hat{m}(t) \sin \omega_c t \end{aligned}$$

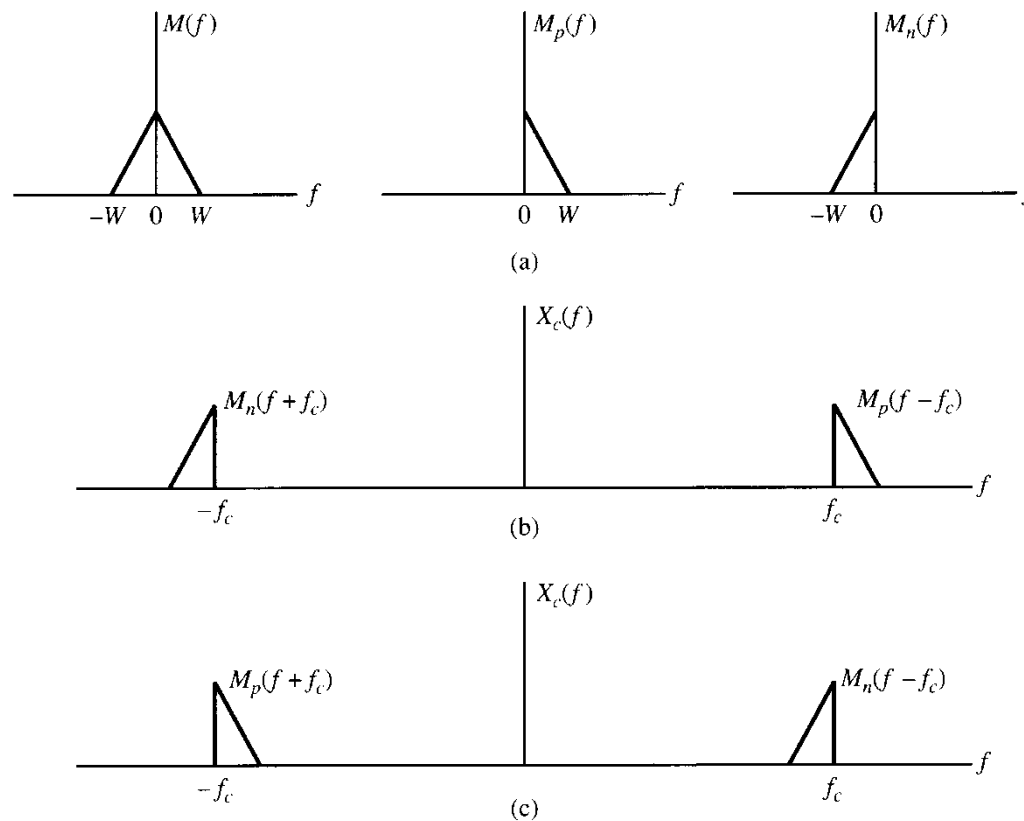
# Phase-shift SSB Modulator

Lower-Side Band:  $x_c(t) = \frac{A_C}{2} m(t) \cos \omega_c t + \frac{A_C}{2} \hat{m}(t) \sin \omega_c t$



# Phase-shift SSB Modulator (2)

Upper-Side Band: 
$$x_c(t) = \frac{A_C}{2} m(t) \cos \omega_c t - \frac{A_C}{2} \hat{m}(t) \sin \omega_c t$$



**Figure 3.9** Alternative derivation of SSB signals. (a)  $M(f)$ ,  $M_p(f)$ , and  $M_n(f)$ . (b) Upper-sideband SSB signal. (c) Lower-sideband SSB signal.